



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Roel OTTO

Application No.: 10/755,392

Filed: January 13, 2004

Docket No.: 114698

For: PREPARATION OF LACTIC ACID FROM A PENTOSE-CONTAINING SUBSTRATE

INFORMATION DISCLOSURE STATEMENT

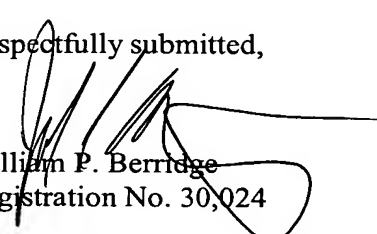
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. The references were cited in a counterpart foreign application. An English language version of the foreign search report is attached for the Examiner's information.
- ☒ 3. The present application was filed or entered the U.S. National Stage of the PCT after June 30, 2003. In accordance with the June 11, 2003, Notice waiving the requirements of 37 CFR §1.98(a)(2)(i), copies of any U.S. patents and patent application publications are not attached.
- ☒ 4. Please note that reference No. 2 is not enclosed as it was provided by the International Bureau.

Respectfully submitted,

  
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WPB:JSA/mxm  
Date: June 15, 2004

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<p><b>DEPOSIT ACCOUNT USE AUTHORIZATION</b> Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461</p>
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Form PTO-1449 (REV. 8-83)		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. 114698		APPLICATION NO. 10/755,392	
INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)				APPLICANT Roel OTTO			
				FILING DATE January 13, 2004		GROUP 1651	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	
	1	US 6 022 537 A	2/8/2000	GARCIA et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	
	2	WO 97/13842 A	4/17/1997	WIPO			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
	3	PAYOT et al. "LACTIC ACID PRODUCTION BY <i>BACILLUS COAGULANS</i> - KINETIC STUDIES AND OPTIMIZATION OF CULTURE MEDIUM FOR BATCH AND CONTINUOUS FERMENTATIONS," Enzyme and Microbial Technology, Volume 24, 1999, pp. 191-199.					
EXAMINER				DATE CONSIDERED			
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Date: June 15, 2004